



06-11-01

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.

ALC 2290-1

First Inventor or Application Identifier

An Ge, et al.

Title

SCALABLE WDM OPTICAL IP ROUTER ARCHITECTURE

Express Mail Label No.

EL914823046US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 202311. ☒ Fee Transmittal for FY 2000
(Submit an original and a duplicate for fee processing)2. ☒ Specification [Total Pages] 48
(preferred arrangement set forth below)

- x - Descriptive Title of the Invention
- x - Cross References to Related Applications
- x - Statement Regarding Fed sponsored R & D
- x - Description of Related Art
- x - Field of the Invention
- x - Summary of the Invention
- x - Brief Description of the Drawings (if filed)
- x - Detailed Description
- x - Claim(s)
- x - Abstract of the Disclosure

3. ☒ Drawing(s) (35 USC 113) [Total Sheets] 24. ☒ Oath or Declaration (unexecuted) 3
[Total Pages]

- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☐ Incorporation By Reference (useable if box 4b is checked).
The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 4b, is considered to be part of the disclosure
of the accompanying application and is hereby
incorporated by reference therein.6. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer-Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee) ☒ Power of Attorney
(unexecuted)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure
Statement (IDS)/PTO-1449 ☐ Copies of IDS
Citations12. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard14. ☐ Small Entity ☐ Statement filed in prior application,
Status still proper and desired15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☒ Other: Certificate of Express Mail: Check in the
amount of \$1736.00

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior Application No.: _____

Prior application information: Examiner _____ Group / Art Unit _____

☒ Claims the benefit of Provisional Application No. 60/210,291 filed June 8, 2000

CORRESPONDENCE ADDRESS:

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25094

PATENT TRADEMARK OFFICE

TYPED or PRINTED NAME Armando Pastrana, Jr.

REGISTRATION NO. 44,997

SIGNATURE

DATE: June 8, 2001

FEE TRANSMITTAL for FY 2001

Complete if Known

Application Number	
Filing Date	June 8, 2001
First Named Inventor	An Ge, et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	ALCA2290-1

TOTAL AMOUNT OF PAYMENT (\$1,736.00)

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

50-0456

Deposit Account Name

Gray Cary Ware & Freidenrich LLP

☒

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:☐ Check☐ Money Order☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
101	710	201	355	Utility Filing Fee	710
106	310	206	155	Design Filing Fee	
107	480	207	240	Plant Filing Fee	
108	690	208	345	Reissue Filing Fee	
114	150	214	75	Provisional Filing Fee	

SUBTOTAL (1)

(\$710.00)

2. EXTRA CLAIM FEES

Claims	Extra Claims X	Fee from below =	Fee Paid
77 -20	57	\$18	\$1026
Ind. Clms 2 -3	0	0	0
Multiple Dependent Claims			

Large Entity		Small Entity		Fee Description
Code	\$	Code	\$	
103	18	203	9	Claims in excess of 20
102	80	202	40	Indep. claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue indep. claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$1026.00)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Code	\$	Code	\$		
105	130	205	65	Surchr - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
147	2520	147	2520	Filing a request for reexamination	
112	920*	112	920*	Request publication of SIR prior to Examiner action	
113	1840*	113	1840*	Request publication of SIR prior to Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1360	218	680	Extension for reply within fourth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive: unavoidable	
141	1210	241	605	Petition to revive: unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property	
146	690	246	345	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690	249	345	Each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify)					
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$0.00)

SUBMITTED BY CUSTOMER NO. 25094:

Name

Armando Pastrana, Jr.

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Complete (if applicable)

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(512) 457-7080

Signature

Date

June 8, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: An Ge, et al.
Date Filed: June 8, 2001
Title: **SCALABLE WDM OPTICAL IP ROUTER ARCHITECTURE**

BOX PATENT APPLICATIONS

Hon. Asst. Commissioner of Patents
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Utility Patent Application Transmittal Form, Utility Patent Application, Fee Transmittal, Unexecuted Declaration and Power of Attorney, Drawings, ~~Check~~^{g KIC} and Postcard are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 8th day of June 2001, and is addressed to the Asst. Commissioner of Patents, ATTN: Box Patent Applications, Washington, D.C. 20231.


Katherin Cope

Express Mail Receipt No.:
EL914823046US
Attorney's Docket:
ALCA2290-1

09877684-060601